



Attorney Docket No. 0756-2228

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hongyong ZHANG et al.

Serial No. 09/726,337

Filed: December 1, 2000

For: A SEMICONDUCTOR DEVICE  
HAVING CHANNEL FORMATION  
REGION COMPRISING SILICON  
AND CONTAINING GROUP IV  
ELEMENT

) Group Art Unit: 2823

) Examiner: W. Coleman

) CERTIFICATE OF MAILING

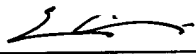
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In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

This Information Disclosure Statement is being submitted with an RCE. Therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/726,337
				Filing Date	December 1, 2000
				First Named Inventor	Hongyong ZHANG et al.
				Group Art Unit	2823
				Examiner Name	W. Coleman
Sheet	1	of	4	Attorney Docket Number	0756-2228

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		3,749,614		Boleky, III et al.	07/31/1973	
		4,309,224		Shibata	01/05/1982	
		4,319,395		Lund et al.	03/16/1982	
		4,406,709		Celler et al.	09/27/1983	
		4,561,171		Schlosser	12/31/1985	
		4,766,477		Nakagawa et al.	08/23/1988	
		4,772,564		Barnett et al.	09/20/1988	
		4,946,799		Blake et al.	08/07/1990	
		4,757,026		Woo et al.	07/12/1988	
		4,897,361		Harriott et al.	01/30/1990	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	04-305940			10/28/1992	None
		JP	05-067635			03/19/1993	Ab
		JP	05-109737			04/30/1993	None
		JP	04-337626			11/25/1992	None
		JP	60-143666			07/29/1985	Ab

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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		V. Subramanian et al., <i>A Novel Technique for 3-D Integration: Ge-seeded Laterally Crystallized TFTs</i> , 1997 Symposium on VLSI Technology Digest of Technical Papers, 8A-3, pp. 97-98.	
		T. Sato et al., <i>Mobility Anisotropy of Electrons in Inversion Layers on Oxidized Silicon Surfaces</i> , Physical Review B, Volume 4, Number 6, Sept. 15, 1971, pp.1950-1960	

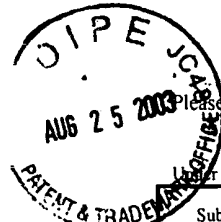
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				<b>Examiner Name</b>	W. Coleman
				<b>Attorney Docket Number</b>	0756-2228
<b>Sheet</b>	2	<b>of</b>	4		

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		Number	Kind Code <sup>2</sup> (if known)			
		4,951,601		Maydan et al.	08/28/1990	
		4,992,846		Sakakibara et al.	02/12/1991	
		4,822,752		Sugahara et al.	04/18/1989	
		5,010,033		Tokunaga et al.	04/23/1991	
		5,075,259		Moran	12/24/1991	
		5,162,892		Hayashi et al.	11/10/1992	
		5,170,244		Dohjo et al.	12/08/1992	
		5,177,578		Kakinoki et al.	01/05/1993	
		5,289,030		Yamazaki et al.	02/22/1994	
		5,326,991		Takasu	07/05/1994	
		5,352,291		Zhang et al.	10/04/1994	
		5,385,863		Tatsumi et al.	01/31/1995	
		5,424,244		Zhang et al.	06/13/1995	

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		JP	02-159730			06/19/1990	Full
		JP	64-001273			01/05/1989	Ab
		JP	63-170971			07/14/1988	Ab
		JP	61-084074			04/28/1986	Ab
		JP	53-027371			03/14/1978	Ab
		JP	61-063017			04/01/1986	Ab
		JP	02-222546			09/05/1990	Ab
		JP	03-280420			12/11/1991	Full

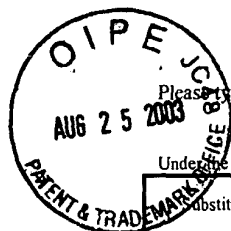
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		M. Kishino et al., <i>Physics of VLSI Device</i> , pp. 144-145	
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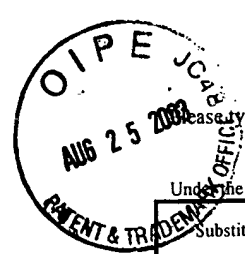
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		Number	Kind Code <sup>3</sup> (if known)			
		5,492,843		Adachi et al.	02/20/1996	
		5,543,352		Ohtani et al.	08/06/1996	
		5,439,837		Hata et al.	08/08/1995	
		4,466,179		Kasten	08/21/1984	
		5,481,121		Zhang et al.	01/02/1996	
		5,488,000		Zhang et al.	01/30/1996	
		5,529,937		Zhang et al.	06/25/1996	
		5,563,426		Zhang et al.	10/08/1996	
		5,569,610		Zhang et al.	10/29/1996	
		5,580,792		Zhang et al.	12/03/1996	
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		5,595,923		Zhang et al.	01/21/1997	
		5,605,846		Ohtani et al.	02/25/1997	
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		5,612,250		Ohtani et al.	03/18/1997	
		5,614,426		Funada et al.	03/25/1997	
		5,614,733		Zhang et al.	03/25/1997	
		5,616,506		Takemura	04/01/1997	
		5,620,910		Teramoto	04/15/1997	
		5,621,224		Yamazaki et al.	04/15/1997	
		5,624,851		Takayama et al.	04/29/1997	
		5,637,515		Takemura	06/10/1997	
		5,639,698		Yamazaki et al.	06/17/1997	
		5,643,826		Ohtani et al.	07/01/1997	
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		5,663,077		Adachi et al.	09/02/1997	
		5,696,386		Yamazaki	12/09/1997	
		5,696,388		Funada et al.	12/09/1997	
		5,700,333		Yamazaki et al.	12/23/1997	
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		5,705,829		Miyanaga et al.	01/06/1998	
		5,712,191		Nakajima et al.	01/27/1998	
		5,824,573		Zhang et al.	10/20/1998	
		5,897,347		Yamazaki et al.	04/27/1999	
		5,942,768		Zhang	08/24/1999	
		5,953,598		Hata et al.	09/14/1999	
		5,962,871		Zhang et al.	10/05/1999	
		6,087,679		Yamazaki et al.	07/11/2000	
		6,090,646		Zhang et al.	07/18/2000	
		6,121,076		Zhang et al.	09/19/2000	
		6,211,536		Zhang	04/03/2001	
		6,285,042		Ohtani et al.	09/04/2001	
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		2002-0047118		Zhang et al.	04/25/2002	
		5,595,944		Zhang et al.	01/21/1997	
		5,677,549		Takayama et al.	10/14/1997	

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